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## INDUCTION OF CYTOTOXIC T-LYMPHOCYTE RESPONSES

### Background of the Invention

This application is a continuation-in-part of pending U.S. application Serial No. 08/351,001, filed December 7, 1994, which is a continuation-in-part of  
5 pending U.S. Serial No. 08/919,787 filed July 24, 1992, which is a continuation-in-part of U.S. Serial No. 07/735,069, filed July 25, 1991, entitled "Induction of Cytotoxic T-Lymphocyte Responses," by Syamal Raychaudhuri and William H. Rastetter (now abandoned). All of  
10 these applications are incorporated by reference in their entirety. This invention relates to methods and compositions useful for inducing cytotoxic T-cell mediated responses in humans, and domesticated or agricultural animals.

15 Cytotoxic T-lymphocytes (CTLs) are believed to be the major host defense mechanism in response to a variety of viral infections and neoplastic or cancerous growth. These cells eliminate infected or transformed cells by recognizing antigen fragments in association  
20 with various molecules (termed class I MHC molecules) on the infected or transformed cells. CTLs may be induced experimentally by cytoplasmic loading of certain soluble antigens within specific cells. Immunization with the soluble antigen alone is generally insufficient for  
25 specific cytotoxic T-lymphocyte induction.

One method by which CTL response may be induced involves the use of recombinant engineering techniques to incorporate critical components of an antigen in question into the genome of a benign infectious agent.  
30 The aim of such a strategy is to generate antigen-specific cytotoxic T-lymphocyte responses to the desired epitope by subjecting the host to a mild, self-limiting infection. Chimeric vectors have been described using vaccinia, polio, adeno- and retro-viruses, as well as  
35 bacteria such as Listeria and BCG. For example,

Takahashi et al. 85 Proc. Natl. Acad. Sci., USA 3105, 1988 describe use of recombinant vaccinia virus expressing the HIV gp160 envelope gene as a potential tool for induction of cytotoxic T-lymphocytes.

5        A second method by which a cell mediated response may be induced involves the use of adjuvants. While the art appears replete with discussion of the use of adjuvants, it is unclear in such art whether cell mediated immunity was induced and whether such cell  
10 mediated immunity included a cytotoxic T-lymphocyte response. The following, however, are representative of various publications in this area.

      Stover et al., 351 Nature 456, 1991 (not admitted to be prior art to the present application) describes a  
15 CTL response to  $\beta$ -galactosidase using recombinant BCG containing a  $\beta$ -galactosidase gene. No such response was detected using incomplete Freund's adjuvant and  $\beta$ -galactosidase.

      Mitchell et al., 8 J. Clinical Oncology 856, 1990  
20 (which is not admitted to be prior art to the present invention) describe treatment of metastatic melanoma patients with an adjuvant termed "DETOX" and allogeneic melanoma lysates administered five times over a period of six weeks. In a small portion of the patients an  
25 increase in cytolytic T-cells was observed. The authors describe a need to enhance the level of cytotoxic T-lymphocyte production, and suggest a combined therapy of adjuvant with Interleukin-2, as well as a pretreatment with cyclophosphamide to diminish the level of tumor  
30 specific T-suppressor cells that might exist. DETOX includes detoxified endotoxin (monophosphoryl lipid A) from Salmonella minnesota, cell wall skeletons of Mycobacterium phlei, squalene oil and emulsifier.

      Allison and Gregoriadis, 11 Immunology Today 427,  
35 1990 (which is not admitted to be prior art to the present invention) note that the only adjuvant "autho-

present invention) note that the only adjuvant "authorized for use" in human vaccines is aluminum salts (alum) which does not consistently elicit cell mediated immunity. Allison and Gregoriadis state "[t]here is, therefore, a need to develop adjuvants with the efficacy of Freund's complete adjuvant but without its various side effects such as granulomas." They go on to state that three possible strategies exist, for example, the use of liposomes; the use of adjuvants, termed immunostimulating complexes (ISCs), which include saponin or Quil A (a triterpenoid with two carbohydrate chains), cholesterol, and phosphatidyl choline) which are authorized for use in an influenza vaccine for horses (Morein et al., Immunological Adjuvants and Vaccines, Plenum Press, 153); and the use of an emulsion (SAF) of squalene or Squalane (with or without a pluronic agent) and muramyl dipeptide (MDP). SAF is said to elicit a cell mediated immunity in mice, although it "has long been thought that subunit antigens cannot elicit cytotoxic T-cell (CTL) responses."

Takahashi et al., 344 Nature 873, 1990, describe class II restricted helper and cytotoxic T-lymphocyte induction by use of ISCs with a single subcutaneous immunization in mice. They state that Freund's adjuvant, incomplete Freund's adjuvant, and phosphate buffered saline did not induce cytotoxic T-lymphocyte activity against the targets in which they were interested. They state that, in contrast to results with other forms of exogenous soluble protein antigen, they have shown that it is possible to prime antigen specific MHC class I restricted CD8<sup>+</sup> CD4<sup>-</sup> CTL by immunization with exogenous intact protein using ISCs. They also state that the experiments described suggest that it may be possible to elicit human CTL by using ISCs containing HIV proteins, and that ISC-based vaccines may achieve

the long sought goal of induction of both CTL and antibodies by a purified protein.

Byars and Allison, 5 Vaccines 223, 1987 describe use of SAF-1 which includes TWEEN 80, PLURONIC L121, and squalene or Squalane, with or without muramyl dipeptide, and suggest that their data indicate that the formulation with muramyl dipeptide will be useful for human and veterinary vaccines. Booster shots of the adjuvant were provided without the muramyl dipeptide. The muramyl dipeptide is said to increase antibody production significantly over use of the adjuvant without muramyl dipeptide. Cell mediated immunity was measured as delayed type hypersensitivity by skin tests to determine T-helper cell induction. Such hypersensitivity was stronger and more sustained when muramyl dipeptide was provided in the adjuvant. Similar adjuvants are described by Allison et al., U.S. Patent 4,770,874 (where it is stated that the combination of muramyl dipeptide and pluronic polyol is essential to elicit a powerful cell mediated and humoral response against egg albumin); Allison et al., U.S. Patent 4,772,466; Murphy-Corb et al., 246 Science 1293, 1989 (where it is stated that the use of combined adjuvants with muramyl dipeptide might enhance induction of both humoral and cellular arms of the immune response); Allison and Byars, 87 Vaccines 56, 1987 (where it is stated that cell mediated immunity is elicited by SAF (with muramyl dipeptide) as shown by delayed type hypersensitivity, by proliferative responses of T-cells to antigen, by production of Interleukin-2, and by specific genetically restricted lysis of target cells bearing the immunizing antigen); Allison and Byars, Immunopharmacology of Infectious Diseases: Vaccine Adjuvants and Modulators of Non-Specific Resistance 191-201, 1987; Morgan et al., 29 J. Medical Virology 74, 1989; Kenney et al., 121 J. Immunological Methods 157, 1989; Allison and Byars, 95

J. Immunological Methods 157, 1986 (where aluminum salts and mineral oil emulsions were shown to increase antibody formation, but not cell mediated immunity; and muramyl dipeptide formulations were shown to elicit cell mediated immunity); Byars et al., 8 Vaccine 49, 1990 (not admitted to be prior art to the present application, where it is stated that their adjuvant formulation markedly increases humoral responses, and to a lesser degree enhances cell mediated reactions to influenzae haemagglutinin antigen); Allison and Byars, 28 Molecular Immunology 279, 1991 (not admitted to be prior art to the present application; which states that the function of the muramyl dipeptide is to induce expression of cytokines and increase expression of major histocompatibility (MHC) genes; and that better antibody and cellular responses were obtained than with other adjuvants, and that it is hoped to ascertain whether similar strategies are efficacious in humans); Allison and Byars, Technology Advances in Vaccine Development 401, 1988 (which describes cell mediated immunity using SAF); Epstein et al., 4 Advance Drug Delivery Reviews 223, 1990 (which provides an overview of various adjuvants used in preparation of vaccines); Allison and Byars, 95 J. Immunological Methods 157, 1986 (which states that the addition of the muramyl dipeptide to the adjuvant markedly augments cell mediated responses to a variety of antigens, including monoclonal immunoglobulins and virus antigens); and Morgan et al., 29 J. Medical Virology 74, 1989 (which describes use of SAF-1 for preparation of a vaccine for Epstein-Barr virus).

Kwak et al., Idiotypic Networks in Biology and Medicine, Elsevier Science Publishers, p. 163, 1990 (not admitted to be prior art to the present application) describe use of SAF without muramyl dipeptide as an adjuvant for a B-cell lymphoma idiotype in a human. Specifically, an emulsion of Pluronic L121, Squalane,

and 0.4% TWEEN-80 in phosphate buffered saline was administered with the idiotype. They state that "[a]ddition of an adjuvant should further augment ... humoral responses, and may facilitate induction of cellular responses as well.

Other immunological preparations include liposomes (Allison et al., U.S. Patents 4,053,585, and 4,117,113); cyclic peptides (Dreesman et al., U.S. Patent 4,778,784); Freund's Complete Adjuvant (Asherson et al., 22 Immunology 465, 1972; Berman et al., 2 International J. Cancer 539, 1967; Allison, 18 Immunopotential 73, 1973; and Allison, Non-Specific Factors Influencing Host Resistance 247, 1973); ISCOMs (Letvin et al., 87 Vaccines 209, 1987); adjuvants containing non-ionic block polymer agents formed with mineral oil, a surface active agent and TWEEN 80 (Hunter and Bennett, 133 J. Immunology 3167, 1984; and Hunter et al., 127 J. Immunology 1244, 1981); adjuvants composed of mineral oil and emulsifying agent with or without killed mycobacteria (Sanchez-Pescador et al., 141 J. Immunology 1720, 1988); and other adjuvants such as a lipophilic derivative of muramyl tripeptide, and a muramyl dipeptide covalently conjugated to recombinant protein (id.).

#### Summary of the Invention

Applicant has discovered a safe and advantageous method and compositions by which CTL responses may be induced in humans and domesticated or agriculturally important animals. The method involves the use of an antigen formulation which has little or no toxicity to animals, and lacks an immunostimulating peptide, (e.g., muramyl dipeptide) the presence of which would decrease the desired cellular response. In addition, the methodology is simple to use and does not require extensive in vivo work to alter existing cells by recombinant DNA techniques to make them more antigenic. This discovery is surprising since it was unexpected

that such a CTL response could be induced by use of such an antigen formulation lacking immunostimulating peptides or their equivalent. Applicant's findings allow the use of such antigen formulations in a broad spectrum of disease states, or as a prophylactic agent. For example, such antigen formulation administration can be used for the treatment of viral diseases in which a CTL response is important, for example, in the treatment of HIV infection or influenza; it can also be extended to use in treatment of bacterial infections, cancer, parasitic infections, and the like. As a prophylactic agent, the antigen formulation combined with a suitable antigen is useful in prevention of infection by viruses responsible for the aforementioned viral diseases, particularly the prophylaxis of HIV infection, and also for prophylaxis of patients at risk of cancer, for example, after resection of a primary tumor.

Thus, in a first aspect the invention features a method for inducing a CTL response in a human or domesticated (e.g., a cat or dog) or agriculturally important animal (e.g., a horse, cow or pig) to an antigen other than B-cell lymphoma antigen or egg albumin. The method includes the steps of providing the antigen to which the CTL response is desired, and providing a non-toxic antigen formulation which comprises, consists, or consists essentially of, a stabilizing detergent, a micelle-forming agent, and a biodegradable and biocompatible oil. This antigen formulation preferably lacks any immunostimulating peptide component, or has sufficiently low levels of such a component that the desired cellular response is not diminished. This formulation is preferably provided as a stable oil-in-water emulsion. That is, each of the various components are chosen such that the emulsion will remain in an emulsion state for a period of at least one month, and preferably for more than one year, without phase



separation. In the method the antigen and antigen formulation are mixed together to form a mixture (preferably by microfluidization), and that mixture administered to the animal in an amount sufficient to induce CTL response in the animal. Such administration is required only once.

By "stabilizing detergent" is meant a detergent that allows the components of the emulsion to remain as a stable emulsion. Such detergents include polysorbate, 80 (TWEEN) (Sorbitan-mono-9-octadecenoate-poly(oxy-1,2-ethanediyl; manufactured by ICI Americas, Wilmington, DE), TWEEN 40, TWEEN 20, TWEEN 60, Zwittergent 3-12, TEEPOL HB7, and SPAN 85. These detergents are usually provided in an amount of approximately 0.05 to 0.5%, preferably at about 0.2%.

By "micelle-forming agent" is meant an agent which is able to stabilize the emulsion formed with the other components such that a micelle-like structure is formed. Such agents preferably cause some irritation at the site of injection in order to recruit macrophages to enhance the cellular response. Examples of such agents include polymer surfactants described by BASF Wyandotte publications, e.g., Schmolka, 54 J. Am. Oil. Chem. Soc. 110, 1977, and Hunter et al., 129 J. Immunol 1244, 1981, both hereby incorporated by reference, PLURONIC L62LF, L101, and L64, PEG1000, and TETRONIC 1501, 150R1, 701, 901, 1301, and 130R1. The chemical structures of such agents are well known in the art. Preferably, the agent is chosen to have a hydrophile-lipophile balance (HLB) of between 0 and 2, as defined by Hunter and Bennett, 133 Journal of Immunology 3167, 1984. The agent is preferably provided in an amount between 0.5 and 10%, most preferably in an amount between 1.25 and 5%.

The oil is chosen to promote the retention of the antigen in oil-in-water emulsion, i.e., to provide a vehicle for the desired antigen, and preferably has a

melting temperature of less than 65°C such that emulsion is formed either at room temperature (about 20°C to 25°C), or once the temperature of the emulsion is brought down to room temperature. Examples of such oils include squalene, Squalane, EICOSANE, tetratetracontane, glycerol, and peanut oil or other vegetable oils. The oil is preferably provided in an amount between 1 and 10%, most preferably between 2.5 and 5%. It is important that the oil is biodegradable and biocompatible so that the body can break down the oil over time, and so that no adverse affects, such as granulomas, are evident upon use of the oil.

It is important in the above formulation that a peptide component, especially a muramyl dipeptide (MDP) be lacking. Such a peptide will interfere with induction of a CTL response if it provided in an amount greater than about 20 micrograms per normal human formulation administration. It is preferred that such peptides be completely absent from the antigen formulation, despite their apparent stimulation of the humoral compartment of the immune system. That is, applicant has found that, although such peptides may enhance the humoral response, they are disadvantageous when a cytotoxic T-lymphocyte response is desired.

In other related aspects, the antigen formulation is formed from only two of the above three components and used with any desired antigen (which term includes proteins, polypeptides, and fragments thereof which are immunogenic) except egg albumin (or other albumins, e.g., HSA, BSA and ovalbumin), to induce a CTL response in the above animals or humans.

Applicant believes that the above formulations are significantly advantageous over prior formulations (including ISCOMs, DETOX, and SAF) for use in humans. Unlike such formulations, the present formulation both includes a micelle-forming agent, and has no peptides,

cell wall skeletons, or bacterial cell components. The present formulation also induces a CTL response which either does not occur with the prior formulations, or is significantly enhanced compared to those formulations.

5 By "non-toxic" is meant that little or no side effect of the antigen formulation is observed in the treated animal or human. Those of ordinary skill in the medical or veterinary arts will recognize that this term has a broad meaning. For example, in a substantially  
10 healthy animal or human only slight toxicity may be tolerated, whereas in a human suffering from an imminently disease substantially more toxicity may be tolerated.

In preferred embodiments, the antigen formulation  
15 consists essentially of two or three of the detergent, agent, and oil; the method consists essentially of a single administration of the mixture (antigen plus antigen formulation) to the human or the animal; the human or animal is infected with a virus and suffers one  
20 or more symptoms (as generally defined by medical doctors in the relevant field) of infection from the virus; and the antigen formulation is non-toxic to the human or animal.

In other preferred embodiments, the antigen is  
25 chosen from antigenic portions of the HIV antigens: gp160, gag, pol, Nef, Tat, and Rev; the malaria antigens: CS protein and Sporozoite surface protein 2; the Hepatitis B surface antigens: Pre-S1, Pre-S2, HBc Ag, and HBe Ag; the influenza antigens: HA, NP and NA;  
30 Hepatitis A surface antigens; Hepatitis C surface antigens; the Herpes virus antigens: EBV gp340, EBV gp85, HSV gB, HSV gD, HSV gH, HSV early protein product, human papillomavirus antigens (e.g., HPV antigens, such as L1, E4, E6, E7 antigens, in particular the E6 and E7  
35 antigens from HPV16 and 18, the two most common HPV types associated with cervical carcinoma, E4 and L1

specific antigen (PSA), prostate specific membrane associated antigen, cytomegalovirus gB, cytomegalovirus gH, and IE protein gp72; the respiratory syncytial virus antigens: F protein, G protein, and N protein; and the  
5 tumor antigens carcinoma CEA, carcinoma associated mucin, carcinoma mutated EGF receptor, carcinoma P21, carcinoma P53, melanoma MPG, melanoma p97, MAGE-1 and MAGE-3, gp100, MART-1, carcinoma Neu oncogene product, carcinoma p53 gene product, called gp75, melanoma  
10 antigen gp75, and mutated p21 ras protein presented in a variety of malignant tumors.

In related aspect, the invention features a composition comprising, consisting, or consisting essentially of an antigen mixed with an antigen formula-  
15 tion described above, and the antigen is chosen from those antigenic portions listed above.

In other related aspects, the invention features methods of treating a patient infected with HIV virus, suffering from malaria, suffering from influenza,  
20 suffering from hepatitis, suffering from a cancer, infected with herpes virus, suffering from cervical cancer, suffering from condyloma acuminata (genital warts), or infected with respiratory syncytial virus, by administering a composition including an appropriate  
25 antigen (e.g., selected from those listed above) mixed with one of the above antigen formulations. These antigens and treatments are only exemplary of antigens which may be used in the subject antigen formulations.

Other features and advantages of the invention will  
30 be apparent from the following description of the preferred embodiments thereof, and from the claims.

#### Description of the Preferred Embodiments

The drawings will first briefly be described.

### Drawings

FIGS. 1A - 1C and 4A - 4C are graphical presentations of data comparing CTL induction by various ovalbumin formulations; E:T represents effector to  
5 target ratio in all Figures.

FIGS. 2A and 2B are graphical presentations of data comparing CTL induction by various  $\beta$ -galactosidase formulations;

FIG. 3 is a graphical presentation of data compar-  
10 ing CTL induction by ovalbumin in a liposome and in an antigen formulation;

FIGS. 5 and 6 are graphical presentations of data showing the effect of CD4 and CD8 cell depletion on CTL induction;

15 FIG. 7 is a graphical representation of data showing CTL induction by gp120;

FIG. 8 is a graphical representation of data showing CTL induction by a mixture of pluronic and TWEEN and an antigen;

20 FIG. 9 is a graphical representation of data showing CTL induction with a mixture of squalane and pluronic and an antigen;

FIG. 10 is a graphical representation of data showing CTL induction by a mixture of squalane and  
25 pluronic and an antigen;

FIG. 11 is a graphical representation of the effect of OVA with various antigen formulations on CTL response;

FIG. 12 is a graphical representation of the  
30 induction of anti-gp120IIIb antibodies in monkeys with various antigen formulations;

FIG. 13 depicts antitumor activity of HOPE2 cells ten days after a single immunization of soluble E7 protein in adjuvant; and

FIG. 14 depicts antitumor activity of HOPE2 cells at days 10, 19 after two immunizations with soluble E7 protein in adjuvant.

#### Antigen Formulation

5       Antigen formulations useful in this invention are generally described above. Those of ordinary skill in this art will recognize that equivalent Formulations are readily prepared and can be expected to have equivalent properties in induction of a CTL response. Such  
10       Formulations are readily tested for their properties using techniques equivalent to those described in the examples below.

      There follow examples of the invention with the use of an antigen formulation (AF) composed of about 2.5%  
15       squalane, 5% pluronic acid, and TWEEN 80 in a phosphate buffered saline. Specifically, an emulsion of the AF included : 15 mg squalane, 37.5 mg poloxamer 401 (PLURONIC L121), 6 mg polysorbate 80 (TWEEN 80), 0.184 mg potassium chloride, 0.552 mg potassium phosphate  
20       monobasic, 7.36 mg. sodium chloride, 3.3 mg sodium phosphate dibasic (anhydrous), per 1 ml water, pH 7.4. This emulsion was microfluidized using standard technique (Microfluidics Model M110F) with a back-pressure module at 11-14,000 psi \*with gradual return to atmo-  
25       sphere pressure, cooling and packing in wet ice.

      Example 11 which relates to papillomavirus antigen formulations uses an antigen formulation referred to as AF3.75. This composition comprises squalane, Tween 80, and pluronic L121 dissolved in phosphate buffered saline  
30       at pH 7.4 to form a crude oil-in-water emulsion containing final concentrations of 15% (wt/vol) squalane, 0.6% Tween 80, and 3.75% pluronic L121. The emulsion is then cycled through a microfluidizer multiple times at a reduced temperature to obtain a stable homogeneous  
35       emulsion with a mean particle size ranging from 100 to

300 nm. The AF is diluted 1:3 with antigen (1 part AF and 2 parts antigen) prior to use.

Another preferred antigen formulation is identical to AF3.75 except that it contains 1.5% pluronic L121.

5 In other examples, antigen was mixed with the microfluidized squalane (S), pluronic (P) and TWEEN 80 (T) mixture to achieve a final concentration of 0.2% TWEEN 80, 1.25% pluronic and 5% squalane respectively. To determine the sub-components necessary for an antigen  
10 specific immune response induction, Squalane-TWEEN 80, pluronic-TWEEN 80 or Squalane-pluronic were prepared at the same concentration as for the three components mixture. Pluronic, Squalane or TWEEN 80 was also prepared individually to determine the effect of  
15 individual component on the CTL induction. Substitutions of TWEEN 20, TWEEN 40 or Zwittergent for TWEEN 80 were also made to determine the effect of various TWEEN derivative on the CTL induction in the ova system. Substitutions of Squalane in the three component  
20 formulation were made with Eicosone or Triacontone and substitution for the co-polymer pluronic in the same three components formulation were made by PEG 1000, Pluronic L62LF, and the Tetronics 1501 and 150R1. As two component formulations, various analogs in various  
25 combinations were mixed and tested for ova specific CTL induction. They are a mixture of cholesterol - TWEEN 80, Squalane - TWEEN 20, Pristane - TWEEN 80 or olive oil - TWEEN 80. For a stabilization study, the microfluidized mixture of Squalane-TWEEN 80 was mixed with  
30 dextrose to a final concentration of 5%. In all cases the combinations of excipients were mixed in a microfluidizer to make a stable emulsion. For immunization purposes, it is preferable that soluble antigen be mixed with microfluidized excipients to obtain a stable  
35 homogeneous emulsion with particle sizes ranging from about 100 to 300 nm. In some experiments, two compo-

nents formulations were mixed with various concentration of MDP for CTL and humoral response inductions. Table 1 describes a comprehensive list of various formulations used in this study.

5

Table 1

Effect of various substitution in three or two component systems

<u>Substitution in three component formulations</u>		percent kill at E:T 100:1
10	STP	84
	Tween 40(T)	66
	Tween 20(T)	48
	T1501(P)	0
	T150R1(P)	0
15	Pluronic L62LF(P)	47
	Eicosane(S)	*
	PEG1000(P)	*
	Triacontane(S)	*
	Zwittergent(T)	*
<u>Substitution in two component formulations</u>		
20	ST	76
	PT	45
	SP	26
	Cholesterol(S) + Tween 80	0
	Squalane + Tween 29(T)	65
25	Pristane(S) + Tween 80	42
	Olive Oil(S) + Tween 80	69
<u>1 component formulation</u>		
30	Pluronic L121	0
	Squalane	0
	Tween 80	0
	Squalane + Tween 80 + 5% dextrose	86

\* CTL assay is being repeated

Syntex adjuvant formulation (microfluidized; SAFm) was used as an adjuvant control and consists of two parts. Part I consists of phosphate buffered saline containing a final concentration of 5% Squalane, 1.25% pluronic and 0.2% TWEEN 80 (vehicle or I-SAF). Part II consists of N-Acetylmuramyl-L-Threonyl-D-Isoglutamine (Thr-MDP), a derivative of mycobacterium cell wall



component. For immunization purposes, antigen is mixed with microfluidized vehicle (part I) to obtain a homogeneous emulsion. MDP is added to make SAFm, and vortexed briefly. The MDP concentration in the mixture  
5 was varied to determine if there was an optimum concentration for CTL induction. As an adjuvant control, mice were also immunized with soluble antigens mixed with alum according to the manufacturer's manual (Pierce Chemical, Rockford, IL) or with Complete Freund's  
10 Adjuvant (CFA).

This antigen formulation is used for induction of cytotoxic T-lymphocyte responses in mice. Those of ordinary skill in the art will recognize that such a mouse model is indicative that equivalent experiments or  
15 treatments will similarly induce cytotoxic T-lymphocyte responses in humans, domesticated, or agricultural animals. The amount of antigen formulation and antigen useful to produce the desired cellular response may be determined empirically by standard procedures, well  
20 known to those of ordinary skill in the art, without undue experimentation. Thus, if desired to minimize the side effects of treatment with such a mixture those of ordinary skill in the art may determine a minimum level of such a mixture for administration to a human,  
25 domesticated, or agricultural animal in order to elicit a CTL response, and thereby induce immunity to a desired antigen. In normal use, such a mixture will be injected by any one of a number of standard procedures, but particularly preferred is an intramuscular injection at  
30 a location which will allow the emulsion to remain in a stable form for a period of several days or several weeks.

#### Methods

The following materials and methods were used in  
35 the examples provided below unless otherwise noted:

### Mice

Female C57BL/6 (H-2<sup>b</sup>) and BALB/c (H-2<sup>d</sup>) mice were purchased from Harlen Sprague (San Diego, California).

### Antigens

- 5       Ovalbumin (ova, Grade VII; Sigma Chemical Co., St. Louis, MO) was used in the native form.  $\beta$ -galactosidase, ( $\beta$ -gal, Grade VIII; BRL) was used in the native form and after boiling in 1 M NaOH for 2 min to give an alkali digest. Recombinant gp120 was purchased from  
10   American Biotechnology.

### Tumor Cells and Transfectants

- The tumor cells used were the Ia<sup>-</sup> lines EL4 (C57BL/6, H-2<sup>b</sup> thymoma) and P815 (DBA/2, H-2<sup>d</sup> mastocytoma). Derivation of the ova-producing EL4 transfectant,  
15   EG7-ova, is described previously by Moore et al., 54 Cell 777, 1988. The  $\beta$ -gal-producing transfectant, P13.1, was derived by electroporation of 10<sup>7</sup> P815 cells in 1 ml of phosphate buffered saline (PBS) with 10 mg of PstI linearized pCH110 (Pharmacia LKB Biotechnology  
20   Inc., Piscataway, NJ) and 1 mg of PvuI linearized pSV2 neo (Southern et al., 1 J. Mol. Appl. Genet. 327, 1982) followed by selection in 400  $\mu$ g/ml of the antibiotic G418. The C3-4 transfectant was derived from the BALB/c hybridoma IgM 662 by transfecting with a plasmid  
25   encoding the  $\beta$ -gal gene fused to the third and fourth exon of IgM heavy chain (Rammensee et al., 30 Immunogenetics 296, 1989). The gp160IIIb expressing 3T3 fibroblast, 15-12, was provided by Dr. Germain of NIH (Bethesda, MD). The K<sup>b</sup> transfectant L cell line was  
30   provided by Dr. Carbone, Monash University, Australia. The D<sup>d</sup> and L<sup>d</sup> transfectant L cell lines were provided by Dr. Ted Hensen, Washington University, St. Louis.

### Immunization

- Mice were immunized intravenously with a 200  $\mu$ l  
35   suspension of 25 x 10<sup>6</sup> splenocytes, after a cytoplasmic loading as described by Moore et. al. supra, and Carbone

et al., J. Exp. Med. 169:603, 1989). For ova-antigen formulation or  $\beta$ -gal-antigen formulation immunization, 30  $\mu$ g of each protein antigen was injected per mouse in the footpad and the tailbase subcutaneously. Each  
5 injection consists of 67  $\mu$ l of microfluidized antigen formulation (made following standard procedures) and 30  $\mu$ g of protein antigen in a final volume of 200  $\mu$ l. The final volume was made up with HBSS, see, Whittaker manual (Welkersville, MD). MDP was provided in concen-  
10 trations between 0 and 300  $\mu$ g. Where stated, mice were immunized with soluble antigens in CFA, or in alum in a total volume of 200  $\mu$ l.

In vitro stimulation of effector populations

Spleen cells ( $30 \times 10^6$ ) from normal or immunized  
15 mice which had been primed at least 14 days earlier were incubated with  $1.5 \times 10^6$  EG7-ova (irradiated with 20,000 rad) for ova responses or  $1.5 \times 10^6$  C3-4 cells (irradiated with 20,000 rad) for  $\beta$ -gal response in 24 well plates at 37°C in 7% CO<sub>2</sub>/air. All the tissue cultures were  
20 performed in a complete medium consisting of IMDM medium, see, Whittaker Manual (Welkersville, MD) supplemented with 10% fetal calf serum (FCS), 2mM glutamine, gentamycin and  $2 \times 10^{-5}$  M 2-mercaptoethanol. For the *in vitro* depletion experiments, *in vivo* primed  
25 or *in vitro* stimulated spleen cells were treated with monoclonal antibodies (mAbs) RL.172 (anti-CD4) or mAbs 3.168 (anti-CD8) for removal of CD4<sup>+</sup> or CD8<sup>+</sup> T cells (Sarmiento et al., 125 J. Immunol. 2665, 1980, and Ceredig et al., 314 Nature 98, 1985). The mAb RL.172  
30 and mAb 3.168 were obtained from Dr. Jonathan Sprent at Scripps Clinic and Research Foundation, La Jolla, CA.

Spleen cells ( $30 \times 10^6$ ) from normal or immunized mice which had been primed at least 21 days earlier were incubated with  $1.5 \times 10^6$  15-12 cells (treated with 200ug  
35 of mitomycin C for 45 minutes per  $10^8$  cells), or with 500  $\mu$ g of 18IIIB peptide containing the dominant CTL epitope

in Balb/c mice in complete IMDM media (Irvine Scientific, Santa Ana, CA) containing 10% pre-screened FCS (ICN Flow; ICN Biochemicals, Inc., Costa Mesa, CA), 2mM glutamine, gentamycin and  $2 \times 10^{-5}$  M 2-mercaptoethanol.

- 5 For in vitro stimulation with peptides, spleen cells were cultured in complete IMDM containing 5% ConA supernatant.

For depletion experiments, in vivo primed or in vitro stimulated spleen cells were treated with mAbs  
10 RL.172 (anti-CD4) or mAbs 3.168 (anti-CD8) in presence of low tox. rabbit complement (Cederlane Laboratories, Ltd., Hornby Ontario, Canada) for removal of CD4<sup>+</sup> or CD8<sup>+</sup> T cells (22, 23). The mAb RL.172 and mAb 3.168 were a gift from Dr. Jonathan Sprent at Scripps Clinic  
15 and Research Foundation, La Jolla, CA.

#### Cytotoxicity Assay

Target cells ( $1 \times 10^6$ ) were labeled with 100  $\mu$ Ci [<sup>51</sup>Cr] sodium chromate for 60 min. For peptide pulsed targets, 50  $\mu$ l of a 1 mg/ml peptide solution in HBSS was  
20 added during the targets labeling with <sup>51</sup>Cr. After washing,  $10^4$  labeled targets and serial dilutions of effector cells were incubated in 200  $\mu$ l of RP10 for 4 h at 37°C. 100  $\mu$ l of supernatant was collected and the specific lysis was determined as: Percent specific  
25 lysis =  $100 \times \{(\text{release by CTL} - \text{spontaneous release}) / (\text{maximal release} - \text{spontaneous release})\}$ . Spontaneous release in the absence of cytotoxic T-lymphocyte (CTL) was <25% of maximal release by detergent in all experiments.

#### 30 Determination of Antibody Responses in Mice and Monkeys

Each well of 96-well, U bottomed plates (Costar, Cambridge, MA) were coated with 150 ng of ova or gp120 in 50  $\mu$ l of HBSS and incubated overnight at 4°C. For  
35 the determination of anti-gp120 and anti-ova antibody responses in mice, plates were blocked with 1% BSA for 1

hr. Serially diluted sera were added in 25  $\mu$ l volume per well and incubated for 2 hrs. Plates were washed and 50  $\mu$ l of 1:1000 dilution of goat anti-mouse IgG conjugated to HRPO (SBT, Alabama) in 1% BSA were added per well. After 1 hr of incubation, plates were washed and 100  $\mu$ l of substrate was added per well. The OD<sub>405</sub> was taken after 10 to 15 minutes. For the determination of monkey anti-gp120 antibody response, all the steps were the same except both the blocking of plates and the dilution of sera were done in 5% normal goat serum in Hank's balanced salt solution.

#### Peptide Synthesis

Synthetic peptides corresponding to amino acid sequences 253-276 (Sequence Listing No. 1: EQLESIINF EKLT EWTSSNVMEER; where the standard one letter code is used to represent each amino acid) of ovalbumin (ova 253-276), amino acid sequences 84-102 of myelin basic protein (MBP 84-102) (Sequence Listing No. 2: DENPVVHFFKNIVTPRTPP), and synthetic peptides corresponding to amino acid sequences 308-322 (18IIIb sequence) of gp120IIIb, were assembled by solid phase peptide synthesis using an Applied Biosystems 430A synthesizer. Amino acids were coupled via pre-formed symmetric anhydrides with the exception of asparagine, glutamine and arginine which were coupled as hydroxybenzotriazole esters. Coupling efficiency was monitored by ninhydrin reaction following the method of Kaiser et al. 34 Anal. Biochem. 595, 1970. The peptides were released from the support with HF following the "low-high" procedure described by Tam, et al. 21 J. Am. Chem. Soc. 6442, 1983, and the peptides extracted from the resin with 10% acetic acid. After lyophilization, peptides were desalted on a Sephadex G-25 column, and samples of the peptides then HPLC purified by reverse phase chromatography on a Vydac preparative C-18 column. Purified peptides (98%) were solubilized in HBSS at a final

concentration of 10 mg/ml and diluted to the desired concentration in the complete media.

#### CNBr Digest

Samples of protein (e.g.,  $\beta$ -galactosidase) were  
5 treated with 100 fold molar excess of cyanogen bromide  
in a solution of 100 mM trifluoroacetic acid. The  
reaction was allowed to proceed for 18 hours at room  
temperature (about 20°C) with rotation. Following the  
prescribed reaction time, the peptide fragments were  
10 separated from the reactant using a SEP-PAK C-18  
apparatus (Waters), eluted with 95% acetonitrile, and  
lyophilized.

#### Alkaline digest

Protein samples (e.g.,  $\beta$ -galactosidase) were  
15 treated with 1 N NaOH and boiled for 2 minutes, and the  
resulting peptide fragments were separated from the  
reactants using a C-18 SEP-PAK apparatus (Waters), and  
eluted with 95% acetonitrile and lyophilized.

#### Example 1: Class I restricted CTL priming

20 Moore et al., 113 UCLA Symp. Mol. Cell. Biol. 1989  
and Carbone and Bevan, 171 J. Exp. Medicine 377, 1990,  
demonstrate that mice immunized with spleen cells loaded  
cytoplasmically with soluble ova, were primed for ova  
specific, class I restricted CTL response. The ova-  
25 expressing EL4 transfectant EG7-ova was employed for in  
vitro stimulation of in vivo primed splenic lymphocytes  
and also used as target for ova specific CTL mediated  
killing. This study also demonstrated that CD8<sup>+</sup>  
effectors induced by EG7-ova transfectant or by spleen  
30 cells cytoplasmically loaded with ova, recognize a  
determinant mapped by the peptide ova 258-276 in the  
context of H-2K<sup>b</sup>, lyse EG7-ova, and also kill EL4 cells  
coated with ova 258-276. Thus, in order to assess  
whether an endogenous class I restricted CD8<sup>+</sup> T cell  
35 pathway can be induced by a soluble antigen, the above  
system was used to determine whether certain antigen

formulations can be used to drive soluble antigen into a class I restricted pathway.

a) ova

C57BL/6 mice were immunized once with various amounts of ova (30  $\mu$ g - 1 mg per mouse) with or without an antigen formulation. Mice were injected subcutaneously and in the tailbase. Spleen cells were taken from the immunized mice at least two weeks after the immunizations and *in vitro* stimulated with the EG7-ova transfectants. An ova concentration as low as 30  $\mu$ g was as effective as a 1 mg dose. Therefore, the CTL studies were routinely performed with spleen cells from 30  $\mu$ g ova-primed mice. After five days of *in vitro* culture with EG7-ova, priming was assessed by the presence of ova specific effectors capable of lysing EG7-ova.

Mice injected with soluble ova in HBSS as high as 1 mg, showed no evidence of CTL priming (FIG. 1A). However mice immunized with 30  $\mu$ g ova in the antigen formulation described above (shown as AF in the figures) showed a significant transfectant specific CTL response (FIG. 1C). Furthermore, the extent of EG7-ova killing by the ova-AF immunized spleen cells was comparable to that of ova-loaded spleen cells immunized mice (FIG. 1B).

That the specificity of CTL priming *in vivo* was antigen specific was shown by the lack of spleen cells from  $\beta$ -galactosidase immunized mice to manifest secondary CTL response *in vitro* when stimulated with EG7-ova. No ova specific CTL induction was observed.

b)  $\beta$ -galactosidase

Similar results were obtained using another soluble protein antigen,  $\beta$ -gal. For assaying  $\beta$ -gal-specific CTL response, the target used was BALB/c derived  $\beta$ -gal-expressing C3-4 transfectant. Immunization of BALB/c mice with soluble  $\beta$ -gal gave background CTL response. Therefore, for the determination of specific CTL

response, harvesting was postponed for at least eight weeks before spleen lymphocytes were harvested, and cultured for five days in the presence of irradiated C3-4 transfectants.

5        FIG. 2B demonstrates that 30  $\mu$ g of  $\beta$ -galactosidase in AF induced strong specific CTL response against transfectant. At an effector-to-target (E:T) ratio of 3:1,  $\beta$ -gal-AF immunized mice showed about 80% of specific C3-4 killing. However, only 20% killing of the  
10 same target was achieved with effectors isolated from  $\beta$ -gal in HBSS immunized mice at the same E:T ratio (FIG. 2A). Since neither EL4 nor P815 expresses class II MHC gene products and the lysis shows syngeneic restriction, these ova and  $\beta$ -gal specific effectors are class I MHC  
15 restricted.

To demonstrate the usefulness of the antigen formulation, mice were immunized with soluble ova encapsulated in two types of liposomes, one of which was a pH sensitive liposome. One week later, spleen cells  
20 were stimulated in vitro, as described above, and tested against  $^{51}$ Cr-labeled EG7-ova or EL4. FIG. 3 shows a representative result demonstrating that ova in liposome could not prime mice for substantial CTL induction. Similar results were observed when ova was immunized in  
25 alum.

#### Example 2: Recognition of epitope by CTL

Carbone and Bevan, supra, demonstrated that CTL induced in C57BL/6 mice by EG7-ova transfectant, and by cytoplasmically ova-loaded splenocytes recognize EL4  
30 cells coated with the peptide ova 258-276. To determine whether soluble ovalbumin in AF induces similar CTL responses, spleen cells were prepared from immunized mice and stimulated in vitro with EG7-ova. The effectors were tested against EL4 cells coated with the  
35 peptide ova 253-276, or with a control peptide derived



from myelin basic protein (MBP 84-102). The results demonstrate that ova-AF primed CTL with a similar specificity to those primed by transfectants, or by cytoplasmically loaded ova (FIGS. 1A, 1B and 1C).

5 ova-AF primed effector cells effectively lysed EG7-ova, and an untransfected EL4 cells coated with 50  $\mu\text{g}/10^8$  cells of ova peptide, but did not lyse EL4 cells coated with 50  $\mu\text{g}/10^8$  cells of MBP peptide.

In the  $\beta$ -galactosidase system, Carbone and Bevan,  
10 supra, indicated that  $\beta$ -gal expressing transfectant and splenocytes cytoplasmically loaded with soluble  $\beta$ -galactosidase, induced CTL which lysed  $\beta$ -gal expressing transfectant and nontransfectant P815 cells coated with alkali digested  $\beta$ -galactosidase. Soluble  $\beta$ -galac-  
15 tosidase induces CTL having similar specificity when immunized in AF (FIG. 2).

#### Example 3: CTL effectors are CD8<sup>+</sup> T cells

That soluble protein antigens in AF induce CD8<sup>+</sup> effector T cells was shown as follows. Splenocytes from  
20 immunized mice were cultured for five days with irradiated transfectants in vitro. Thereafter, cells were harvested and depleted of CD4<sup>+</sup> or CD8<sup>+</sup> T cells by using monoclonal anti-CD4 or anti-CD8 antibodies plus complement. Depleted populations were then tested against  
25 <sup>51</sup>Cr-EG7-ova in the ova system or <sup>51</sup>Cr-P13.1 in the  $\beta$ -gal system. The data shown in FIG. 4 indicates that, in the ova system, depletion of CD8<sup>+</sup> T cells abrogated cytolytic activity conferred by the whole effector cell population. However, depletion of CD4<sup>+</sup> T cell popula-  
30 tion did not have any effect on the lysis of EG7-ova.

Similarly, in the  $\beta$ -gal system, depletion of CD8<sup>+</sup> T cells abrogated the cytolytic activity of  $\beta$ -gal-antigen formulation immunized spleen cells.

Example 4: Soluble ova in AF prime CD8<sup>+</sup> T cells

To demonstrate that ova-AF primes CD8<sup>+</sup> T cell populations in vivo, and is critical for in vitro secondary response, CD4<sup>+</sup> or CD8<sup>+</sup> populations were  
5 depleted from spleens of ova-AF immunized mice and from naive mice. These treated populations were then stimulated in vitro with EG7-ova alone, or in a combination of CD4<sup>+</sup> and CD8<sup>+</sup> T cells from ova-AF immunized mice, or in various combination of CD4<sup>+</sup> or CD8<sup>+</sup> T cells  
10 from ova-AF immunized mice with the CD4<sup>+</sup> or CD8<sup>+</sup> cells from naive mice. FIG. 5 shows that primed CD8<sup>+</sup> cells are essential for the manifestation of a secondary CTL response in vitro. These data also indicate that for the effective secondary CTL response in vitro, CD4<sup>+</sup> T  
15 cells are required. CD4<sup>+</sup> cells are not needed for priming. Similarly, CD8<sup>+</sup> T cells were required for the manifestation of B-gal specific secondary CTL response in vitro.

The above examples demonstrate the effect of the  
20 antigen formulation on the induction of class I restricted CTL responses against soluble protein antigens. The antigen formulation mediated soluble antigen induced CTL priming, and is similar in activity to that induced by transfectants and by splenocytes cytoplasmically  
25 loaded with soluble ova or  $\beta$ -gal. In the ovalbumin system, EG7-ova, cytoplasmically loaded ova splenocytes, and ova-AF induced: (a) class I restricted CD8<sup>+</sup> CTL; (b) CTL that recognize target sensitized with ova 253-276 synthetic peptide; and (c) long lived CTL after  
30 only one immunization. In the  $\beta$ -galactosidase system, the  $\beta$ -gal-AF induced CTL that recognize  $\beta$ -gal expressing transfectant C3-4, and also the untransfected P815 cells sensitized with alkali digested  $\beta$ -gal. This is analogous to what was observed with CTL induced by immuniza-  
35 tion with spleen cells cytoplasmically loaded with  $\beta$ -galactosidase. The induction of ova-specific CTL by

antigen formulation is unique because neither ova encapsulated in a pH sensitive liposome, nor in alum, could induce CTL priming *in vivo*.

These examples indicate that the antigen formulation used above, and its equivalents, are useful in human therapy and in vaccine development for the induction of CTL in various cancers and viral diseases.

Example 5:

This is a specific example to show the use of the above AF on producing class I restricted CTL priming by soluble gp120 from HIV.

The gp160 IIIB expressing cell line (15-12) was produced in the Balb/c fibroblast-derived 3T3 cell line. It was obtained from Drs. Ron Germain and Jay Berzofsky, National Institute of Health, Bethesda, M.D. The gp160 expressing cell line was employed for *in vitro* stimulation of *in vivo* primed splenic lymphocytes, and also used as target for gp160 specific CTL induction. Balb/c mice were immunized once with 10  $\mu$ g of gp160 per mouse with or without AF. Mice were injected at footpads and tailbase subcutaneously. Spleen cells were taken from the immunized mice after two weeks of immunizations and *in vitro* stimulated with irradiated gp160 transfectants. After five days of culture *in vitro*, priming was assessed by the presence of specific effectors capable of lysing gp160 transfectants, and not the untransfected cell lines. The results are shown in Fig. 7, where CTL response is potentiated with AF and gp120.

The following example demonstrates the use of antigen formulations of this invention with use of only one or two components. These examples demonstrate that CTL-responses can be induced with only two of the above three components.

Example 6: Determination of critical components  
necessary for CTL induction

To determine whether all the above-noted components are necessary for antigen specific CTL induction, mice  
5 were immunized with ovalbumin in a microfluidized formulation of various combinations of two of the three components presented in the AF above. Two component combinations used were as follows; Squalane/TWEEN in PBS, Squalane/Pluronic in PBS or Pluronic/TWEEN in PBS.  
10 Another set of groups were included where mice were immunized with ova formulated in a one component system i.e., Squalane in PBS, Pluronic in PBS or TWEEN in PBS only. The above three component antigen formulation was modified to exclude one component at a time, constitut-  
15 ing PBS in its place.

The above antigen formulations consist of:  
0.300g TWEEN 80 (Aldrich, WI), 1.875g Pluronic L121 (BASF, NJ), and 7.5g Squalane (Aldrich, WI), brought to 50 ml with PBS.

20 The two-component formulations were:  
Squalane/TWEEN: 0.300g TWEEN 80, and 7.5g Squalane, brought to 50 ml with PBS.  
Pluronic/TWEEN: 1.875g Pluronic L121, and 0.300 g TWEEN 80, brought to 50 ml with PBS.  
25 Pluronic/Squalane: 1.875g Pluronic L121, and 7.5g Squalane, brought to 50 ml with PBS.

The samples were then processed through a microfluidizer, model 110T, Microfluidics corp, and bottled and stored at 4°C until use.

30 Ovalbumin (Sigma, MO) was weighted and brought to a 0.3mg/ml solution in HBSS (Whittaker, Supra). The stock 0.3mg/ml solution was combined with the two component formulation in the following amounts: 5 parts Ovalbumin 0.3 mg/ml solution, 3.3 parts 2 component formulation,  
35 and 1.7 parts HBSS.

The formulation was vortexed and kept on ice until injected. All solutions were combined just prior to injection.

Each mouse received 200  $\mu$ l of one formulation  
5 containing 30  $\mu$ l of OVA by injection in both hind  
footpads and any remaining solution was injected  
subcutaneously at the tail base. Mice were allowed to  
rest for two to four weeks prior to spleen harvest.

Two weeks after immunizations, spleen cells were  
10 prepared and in vitro stimulated with irradiated EG7-  
OVA. After five days of culture, the presence of OVA  
specific CTL was measured by testing against  $^{51}\text{Cr}$ -EG7-OVA  
or  $^{51}\text{Cr}$ -EL4 in a 4 hour  $^{51}\text{Cr}$  release assay. The data  
shown in FIGS. 8-10 demonstrate that Ovalbumin formulat-  
15 ed in microfluidized two component system can prime OVA  
specific CTLs in vivo.

We further evaluated the relative contribution of  
the individual components for their ability to induce  
CTL when combined with protein antigens. For immuniza-  
20 tion purposes soluble antigen was mixed with microflui-  
dized excipients to obtain a stable homogeneous emulsion  
with particle sizes ranging from 250-300 nm. To further  
define the components of squalane-Tween 80-pluronic  
(STP) formulation responsible for CTL induction, we  
25 immunized mice with ova in squalane-Tween 80 (ST)  
mixture, pluronic-Tween 80 (PT) mixture or squalane-  
pluronic (SP) mixture and as a control, in squalane (S),  
Tween 80 (T) or pluronic (P). Mice were also immunized  
with ova-SAFm (containing 70  $\mu$ g of MDP) or ova-alum as  
30 adjuvant controls. For a positive control, mice were  
immunized with spleen cells cytoplasmically loaded with  
soluble ova. Other combinations and substitutes were  
also used, and the results are presented in Table 1.

For the detection of CTL priming studies, mice were  
35 immunized once. Two weeks after the immunization,  
spleen cells were mixed with irradiated EG7-ova (the ova

expressing EL4 cells) for five days and tested against  $^{51}\text{Cr}$ -EG7-ova or  $^{51}\text{Cr}$ -EL4 cells. The results (Fig. 11) demonstrate that 30  $\mu\text{g}$  of ova in combination with STP or ST primes class I restricted CTL response in mice. The

5 priming of ova specific CTL by ova in STP or by ova in ST appears to be better than that induced by spleen cells cytoplasmically loaded with soluble ova. Ova in PT or in SP could induce ova specific CTL responses in mice but inconsistently and poorly. Unlike SAFm, the

10 addition of MDP to ST formulation did not compromise the ova specific CTL induction in mice (Table 2). No ova specific CTL induction occurred when mice were immunized with ova mixed with the individual components, S, P or T nor when mice were immunized with ova-SAFm or ova-alum.

15 Mice immunized with as much as 1 mg ova in (a) HBSS, in (b) SAFm or (c) absorbed to alum did not prime ova specific CTL.

Table 2

Induction of ova specific CTL response is not blocked by ST + MDP						
% cytotoxicity in mice immunized with*						
Stimulator	Target**	ET	ova-HBSS	ova-ST	ova-ST-MDP 300µg mouse	ova-ST-MDP 72µg mouse
EG7-ova	EG7-ova	100:1	0	100	82	76
		33:1	0	86	67	62
		11:1	0	33	39	25
		3:1	0	6	13	3
		1:1	0	0	0	0
		3:1	0	0	0	0

\* mice were immunized with 30µg ova in various formulations

\*\* % cytotoxicity was calculated by subtracting the percent kill against antigen non-expressing cell lines

Example 7: Components Necessary for ova Specific Antibody Production

Mice were immunized three times at 2 week intervals with 30  $\mu$ g of ova in HBSS, STP, ST, PT or SP. As a positive control, mice were also immunized with ova-SAFm, as SAFm is known to induce a strong antibody response. Seven days after the second and third immunizations, mice were bled and the sera tested for ova specific antibody response. The results are shown in Table 3. They indicate that mice immunized with ova in STP, ST or in SAFm display similar anti-ova responses after two immunizations.

Table 3

Induction of anti-ova antibody response		
30 $\mu$ g ova/ animal formulation	# mice responded/ # mice injected	1/dilution sera titer
HBSS	0/3	<1/20, <1/20, <1/20
STP	3/3	<1/4860, >1/4860, <1/4860
ST	3/3	>1/4860, >1/4860, >1/4860
PT	NA	NA, NA, NA
SP	NA	NA, NA, NA
SAF-M	3/3	1/4860, 1/4860, 1/4860

Example 8: HIV gp120 Specific CTL Induction

HIV gp120 IIIB was used as a second antigen system to determine CTL induction in STP, ST or in MP-T. Mice were immunized with 1  $\mu$ g of gp120 IIIB in HBSS, STP, PT or in ST. As a control, mice were immunized with 1  $\mu$ g of gp120IIIB in SAFm or CFA (Complete Freund's Adjuvant) or in RIBI adjuvant system containing MPL (monophosphoryl lipid A) and TDM (trehalose dimycolite). Three weeks after the immunization, spleen cells were prepared and stimulated in vitro with mitomycin treated transfectant



of culture, the resultant effector cells were tested against vaccinia:gp160 IIIB, or parental vaccinia infected P815 cells as targets. The results demonstrate that the gp120-Squalane-TWEEN 80 formulation and not  
5 gp120-Squalane-TWEEN 80 pluronic formulation or gp120-HBSS induced gp120 specific CTL response in mice (Table 4).

Table 4

Induction of gp120 specific CTL response in mice					
Stimulator	Target**	E-T	gp120-HBSS	gp120-ST	% cytotoxicity in mice immunized with*
18IIIIb/IL2	vac:gp120	100:1	23	42	NA***
		33:1	23	38	NA
		11:1	0	0	NA
		3:1	0	35	NA
18IIIIb/IL2	15-12	100:1	0	50	0
		33:1	0	35	0
		11:1	0	27	0
		3:1	0	18	0
18IIIIb/IL2	3T3+18IIIIb	100:1	0	59	13
		33:1	0	59	2
		11:1	0	57	0
		3:1	0	29	0
15-12	vac:gp120	100:1	35	84	NA
		33:1	19	65	NA
		11:1	12	37	NA
		3:1	0	22	NA
		1:1	0	0	NA

- \* mice were immunized with 1  $\mu$ g of gp120III in various formulations
- \*\* % cytotoxicity was calculated by subtracting the percent kill against antigen non-expressing cell lines
- \*\*\* NA; not available

Example 9: Induction of gp120 Specific Humoral Response in Mice

For the induction of gp120 specific humoral responses, mice were immunized with 1  $\mu$ g of gp120IIIb three times at two-week intervals. The animals were bled and tested for the presence of IgG antibodies detecting gp120IIIb in a solid phase ELISA assay. The results demonstrate that gp120-ST is a better immunogen than gp120-HBSS, gp120SAFm (Table 5), or gp120-STP.

Table 5

Induction of anti-gp120 antibody response		
1 $\mu$ g gp120/ animal formulation	# mice responded/ # mice injected	1/dilution sera titer
HBSS	0/3	<1/20, <1/20, <1/20
STP	1/3	<1/20, >1/4860, <1/20
ST	3/3	>1/4860, >1/4860, >1/4860
PT	3/3	>1/4860, >1/4860, >1/4860
SP	2/3	<1/20, 1/540, 1/540
Saf-M	2/3	1/180, >1/4860, 1/540

Example 10: gp120 Specific antibody Responses in monkeys

Monkeys (two per group) were immunized with gp120-SAFm, gp120-SPT, gp120-ST, or gp120-HBSS. As a control, a group of monkeys were immunized with recombinant vaccinia containing gp160 IIIb. Monkeys were immunized at two week intervals and bled two weeks and three weeks

after the second immunization. Pre- and immune sera from each monkey was serially diluted and assayed for anti-gp120 activity in an ELISA as described in the materials and methods. The data (Figure 12) indicate  
5 that monkeys immunized with gp120-STP or gp120-SAFm induced similar responses in monkeys. One monkey immunized with gp120-ST, induced anti-gp120 response similar to the gp120-SAFm or gp120-SPT immunized group. One monkey immunized with gp120-ST did not induce a  
10 strong anti-gp120 response after two immunizations.

Example 11: In vivo activity of AF in combination with HPV 16 E7

1. Generation of recombinant HPV 16 E7 Protein for Immunization

15 a) PCR and cloning of the E7 gene

The HPV 16 E7 gene was cloned from a plasmid obtained from Dr. Karen Vousden (Ludwig Institute) encoding the E7 gene derived from the carcinoma cell line CaSki. The coding regions were amplified by PCR  
20 using primers that encode the 5' and 3' ends of the genes flanked by Bam HI and Sal I cloning sites. The E7 PCR product was ligated into the pGEX - 4T-1 expression vector (Pharmacia Biotech) resulting in the pGEX.E7 expression plasmid. *E. coli* strain XL1 - blue (strata-  
25 gene) was transfected with the pGEX.E7 expression plasmid. The sequence of the E7 was obtained from the plasmids of the resulting colonies and was identical to the E7 sequence obtained from CaSki cells.

30 b) Production and purification of bacterially-expressed E7

The pGEX.E7 bacterial expression plasmid encodes a glutathione-S-transferase (GST) fusion protein consisting of the GST at the amino-terminus, a thrombin

protease cleavage site and the E7 protein at the carboxy-terminus. E7 protein was produced and purified as described in the product information literature from the manufacturer of the pGEX-4T-1 vector (Pharmacia Biotech). Briefly, bacteria containing the pGEX.E7 expression plasmid was induced to express the fusion protein by the addition of isopropyl b-D-thiogalactoside to the culture medium. The cells were harvested and lysed by mild sonication. The lysate was applied to Glutathione Sepharose 4B (Pharmacia Biotech). After the fusion protein bound to the matrix, the resin was washed to remove non-specifically bound proteins. The bound fusion protein was digested with thrombin to release the E7 protein from the GST fusion partner.

The E7 protein preparation was analyzed by SDS-PAGE and the E7 protein concentration was determined by Bradford analysis (BioRad). 9 mg soluble E7 protein was obtained per liter of bacterial culture.

## 2. Generation of the X21 E7 Transfectant

Coding sequences for the HPV16 E7 protein (see above) have been inserted into the IDEC proprietary eukaryotic expression plasmid INPEP4. Within this vector, E7 expression is controlled by the Cytomegalovirus promoter/enhancer transcriptional elements. In addition, the first three nucleotides of the E7 coding sequence have been removed and replaced with an immunoglobulin light chain leader sequence placed immediately upstream and in frame with the E7 coding region. Following transfection into the mouse cell line X21 individual G418 resistant clones were examined by northern blot analyses for E7 message production. Every clone displayed detectable E7 message. Western blot analysis of cell lysates from the two of those clones, 4E7 and 1C7, (HOPE1 and HOPE2 respectively) were then performed and demonstrated E7 protein production.

### 3. In vivo Activity of E7/AF Soluble Antigen Immunization

Female mice of C3H background ( $H2^{k/k}$ , Harlan Sprague Dawley) were used in these studies. Animals were maintained according to "Guide for the Care and Use of Laboratory Animals" (DHHS Publication No. NIH 86-23, Bethesda, MD:NIH, 1985), and received food and water *ad libitum*. The E7 transfectant cell line HOPE2  $H2^{k/k}$  was used in these studies. The tumor cell line was maintained by serial passage *in vitro*.

This cell line has been shown to maintain E7 cytoplasmic antigen expression, as detected by western blot analysis, following repeated *in vitro* passages. Tumors were initiated in syngeneic C3H mice by subcutaneous injection of 150,000 *in vitro* passaged cells.

Tumors were measured in 2 perpendicular directions at biweekly intervals. Tumor volume (V) was calculated according to the following formula:

$$V \text{ (mm}^3\text{)} = (L \times W^2) \text{ divided by } 2$$

where:  
L = longest axis measurement in mm  
W = perpendicular axis (mm)

Data in Table 6 are presented as tumor Mice (number of tumor bearing animals over the total number of animals injected). Data in Figures 13 and 14 are presented as median tumor size ( $\text{mm}^3$ ) of each treatment or control group. Each treatment group was compared to a control group that did not receive therapy. Therapy began 10 days after inoculation of HOPE2 cells, when a majority of the tumors were palpable (approx. 50-75  $\text{mm}^3$ ). Therapy was initiated by immunization of mice with soluble E7 protein in AF3.75 (defined previously) or Alum adjuvants (subcutaneously in a total volume of 0.2 ml). Directly before immunization, AF3.75 was mixed for 60 seconds with E7 protein in Hanks Balanced Salt Solution (HBSS) such that each mouse received either 30

ug or 90 ug E7 protein 0.2 ml. Alum (Pierce Chemical Co.) was mixed with E7 protein, according to instructions by the manufacture, such that each animal received 90 ug E7 protein in 0.2 ml per mouse. Animals in a second treatment group received a second immunization 9 days later (19 days after tumor cell inoculation). Booster Immunization were prepared immediately before inoculation, as described above.

In this example (Table 6: Xp #233), 41 days after tumor cell inoculation only 4/8 and 5/8 of mice receiving a single injection of soluble E7 in AF3.75 (30 ug or 90 ug respectively) had measurable tumors. In contrast, all of the mice immunized with E7 protein in Alum (8/8) had actively growing tumors. Additionally, as shown in Figure 13, significant inhibition of tumor growth was observed only in treatment groups immunized with E7 protein in AF3.75 as compared to control (untreated) or Alum treatment groups. Inhibition of tumor growth (Figure 13) or increased tumor regression rates (Table 6) was not observed in mice that received a single injection of E7 in Alum.

Similar results were also observed using treatment groups that received two immunizations at days 10 and 19 after tumor challenge (Table 6 and Figure 14), although some tumor growth retardation was observed with mice receiving two injections of E7 in Alum.

The results indicate that significant antitumor activity as measured by a decreased number of tumor bearing mice and inhibition of tumor growth was observed following immunization of soluble E7 in AF3.75. In contrast, all animals immunized with either a single or double injection of soluble E7 protein in Alum had growing tumors. In summary, immunization with soluble E7 protein in AF3.75 resulted in a significant inhibition of tumor cell growth that was not observed using soluble E7 immunization in Alum.

Table 6

Antitumor activity of soluble E7 immunization in adjuvant

Exp. #	Treatment	Dose (ug/mouse)	Tumor Animals* Day 41	
5	223	Control	-	7/8
	223	E7 in AF3.75	30 ug x 1 <sup>b</sup>	4/8
	223	E7 in AF3.75	90 ug x 1	5/8
	223	E7 in Alum	90 ug x 1	8/8
	223	E7 in AF3.75	30 ug x 2 <sup>c</sup>	3/8
10	223	E7 in AF3.75	90 ug x 2	1/4
	223	E7 in Alum	90 ug x 2	8/8

- a. Number of tumor bearing mice/total number inoculated
- b. All immunizations started on Day 10 post implant
- c. Second immunization (x2) on Day 19 post implant

15                      Other embodiments are within the following claims.